

**Information note for the client:** United States Foreign Tax Compliance Act (FATCA) and The Organisation For Economic Co-operation and Development (OECD) Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS) require Garanti Bank SA to collect tax information about its clients. Please note that Garanti Bank cannot give tax advice. If you have questions about determining entity's tax residence status and consequently about filling in the information needed in this form please contact your tax advisor. Note that your data could be reported to the local tax authority.

## I. Entity's identification

**1** Business name

**2 Address (do not use a P.O. box)**

City

State or province

ZIP Code

Country

**3 Country of incorporation or organization/ tax residence** - indicate below all those countries in which the entity has tax obligations in accordance with the laws of such jurisdictions:

**1** Country of residency for tax purposes

Identification number or functional equivalent

[illegible]

**2** Country of residency for tax purposes  
(if more than one)

Identification number or functional equivalent

[illegible]

**3** Country of residency for tax purposes  
(if more than two)

Identification number or functional equivalent

[illegible]

**4 If you are a tax resident in a partner jurisdiction and you are not providing a TIN, please mark one of the following options and complete accordingly:**

- ☐ The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents
- ☐ The Account Holder is otherwise unable to obtain a TIN or equivalent number *(Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)*

## II. Entity's main activity

1 Please answer below questionnaire to determine whether your company is a financial institution or a non financial institution according to FATCA and CRS definitions

Does the entity carry out any of the following activities? (mark **only one option**)

- ☐ a) Accepts deposits: banks credit unions, etc. (go to **part III**)
- ☐ b) Manages financial assets for others (custodial): brokers, private equity firms, etc. (go to **part III**)
- ☐ c) Insurance companies that issue cash-value insurance: life insurance or annuity contracts (go to **part III**)
- ☐ d) Business related to investment instruments : investment fund managers, investment vehicles, hedge funds and other collective investment vehicles (go to **part III**)
- ☐ e) None of the above (go to **part IV**)

### III. To be completed by Financial Institution

a) Is the entity an investment entity managed by a financial institution? (see 'Appendix. Instructions and definitions')

- ☐ A) YES (go to **part III.2** and complete "Controlling Person tax residency self-certification form" for each Controlling Person\*) ☐ B) NO (go to **part III.2**)

*Note: If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who holds the position of senior managing official. (See the definition of Controlling Person in the last page of this form)*

b) Please select (a), (b) or (c) below (see 'Appendix. Instructions and definitions')

- ☐ A) The entity is a "Participating entity" ☐ "Reporting institution" ☐ or "Registered Deemed Compliant" ☐

☐ Please, provide the GIIN of the entity:                      (go to **part V**)

- ☐ B) If the entity does not have a GIIN but is sponsored by another entity which does, please provide the sponsor's GIIN below and enter here the sponsor's legal name:

(go to **part V**)

- ☐ C) If your organization does not have a GIIN please select one of the types of entity from below.

- ☐ a) The entity is an Exempt Beneficial Owner (please specify which type in accordance with definition in page 6) and then go to **Part V**:

- ☐ Non US Central Bank ☐ Government Entity  
☐ International organizations ☐ Pension fund  
☐ Entity owned by an Exempt Beneficial Owner

- ☐ b) The entity is an Deemed Compliant Institution (please specify which type in accordance with definition in page 6) and then go to **Part V**

- ☐ Non-registering local bank ☐ Entity with only low value accounts  
☐ Investment advisors and investment managers ☐ Limited life debt investment entity

- ☐ c) Non- Reporting Institution (go to **part V**)

- ☐ d) The entity is a "Restricted distributor" (go to **part V**)

- ☐ e) Entity of a US territory (Puerto Rico, Samoa, Guam, etc.) (go to **part V**)

- ☐ f) The entity is Excepted inter-affiliate FFI (go to **part V**)

- ☐ e)The entity is an Owner documented financial institution (go to **part V** and complete for each one of them the Annex "Self-declaration – Controlling person tax information")

- ☐ f) Non US non-participating financial Institution (go to **part V**)

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## V. Certification

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I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. Furthermore I declare that:

1. **I am the person authorized** to sign on behalf of the entity to which this form corresponds.
2. The **entity** identified in this form is not constituted under the laws of the US, **it does not have tax residence in the US, not is tax resident in jurisdictions** other than those listed on this form

Finally, **I undertake to inform Garanti Bank SA, if there is a contractual relationship in any of them, if a change in the information contained in this form.**

Sign Here



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Name and Surname

-----  
Signature

-----  
Date (MM/DD/YYYY)

## General Instructions

### Use this form to:

- Non-US entities

### Do not use this form to:

- US entities .....

### Use:

Self-declaration of fiscal information Entity US

**Important note:** These are selected definitions provided to assist you with the completion of this form. These definitions are indicative. The terms official definitions should be interpreted in accordance with the applicable information of tax regulation.

**US entity definition** Entity constituted or with tax domicile in the United States

## Part I: Entity's identification

### Tax Identification Number or Equivalent

If your jurisdiction of tax residence does not provide the issue of a tax identification number, enter in this field a functional equivalent through which identify themselves outside jurisdiction authorities (social security number, personal identification number, registration number civil, identification number with the Commercial Register etc.)

## Part II: Entity's main activity

### Financial Institutions are considered:

- **Depository institution:** entity that accepts deposits in the ordinary course of its banking or similar activity
- **Custodial institution:** entity that holds financial assets for third parties, as a substantial part of their business
- **Insurance company :** entity that is an insurer (or society to exercise control over it) to issue or is required to make payments in respect of insurance contracts with monetary value on annuity contracts and equivalents
- **Investment entity:** The term "investment entity" refers to **any entity:**
  1. Whose main economic activity consists in the realization of one or more of the following activities or operations on behalf of or in favor of a customer:
    - i. Transactions with money market instruments (checks, bills, certificates of deposit, derivatives etc.); currency exchange; market instruments and money exchange and index-based instruments; negotiation of negotiable securities or the commodity futures,
    - ii. Management of individual and collective investments; or
    - iii. Other form of investment, administration or management of financial assets or money for third parties, or
  2. Whose gross income is attributable mainly to investment, reinvestment or negotiation in financial assets, if the entity is managed by another entity which is itself a depository institution, a custodial institution, one specific insurance company or an investment entity described in paragraph 1 above.

## Part III: Financial Institution Identification

- **Investment Entity located in a non-participating jurisdiction and managed by another financial institution:** Any entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is 1) managed by a Financial Institution and 2) tax resident in a non-participating jurisdiction in CRS. (<http://www.oecd.org/tax/transparency/AEOI-commitments.pdf>). These entities are required to disclose the Controlling Person(s) of the institution
- **Reporting institution (Entity required to communicate information):** Financial Institution with residency in a Model 1 or Model 2 IGA country
- **Participating entity:** Non-US financial institution registered as such with the IRS
- **Registered deemed-compliant:** non-US financial institutions registered in the IRS website
- **Registered Deemed Compliant - Sponsored, closely held investment vehicle:** Investment vehicle sponsored with full delegation in management: Non-US financial institution that has a contractual agreement with a "sponsor" to be a "participating organization", "required to communicate information entity" or "Institution US financial "and is registered as such
- **Sponsored entity that has not obtained a GIIN: US financial institution that:**
  - It is a financial institution only because it is an investment entity;
  - There aren't entities QI, WTO WP; and
  - It agreed with the entity identified in section V.2. in the form (which is not a non-US financial institution participant) to b5e your sponsor

## Part III: Financial institution Identification (continued)

### c) Entities unable to provide GIIN

- i. **Exempt beneficial owner:** Government entities, international organizations, certain pension funds, etc. exempt from complying with FATCA.
  - **Non-US central bank:** non-US central bank which is the primary part of the Government's own authority of law, and who can issue monetary instruments in the country.
  - **Government entity:** non-U.S. Governments and their political subdivisions, as well as its agencies and entities fully controlled by them.
  - **International Organization:** any public international organization that has privileges, exemptions and immunity of an international organization.
  - **Exempt pension funds:** Pension funds that are in countries that have fiscal treaty with U.S. (If they have profits when revenues come from U.S. sources); U.S. government funds, exclusive investment vehicle for pension funds and fund owned by an exempt beneficial owner.
  - **Exempt Beneficial Owner: Entity owned by an exempt beneficial owner:** investment entity whose shareholders are all exempt beneficial owner and whose debt holders are depository institutions or exempt beneficial owner.
- ii. **Entity "Considered Deemed- Compliant":**
  - **Non-registered local bank:** Non US financial institution that:
    - Operates (is authorized and regulated under the laws of their country of incorporation or organization) only as a bank or a credit union or similar cooperative credit organization that is operated without profit
    - Its business consists primarily of receiving deposits and making loans to unrelated retail customers;
    - Does not have a fixed place of business outside its country of incorporation or organization;
    - Does not solicit customers or account holders outside its country of incorporation or organization;
    - Does not have more than \$175 million in assets on its balance sheet and, if the financial institution is a member of a group, the group does not have more than \$500 million in total assets on its consolidated or combined balance sheets
    - Respect to a financial institution that is part of a group, each member of the group is incorporated or organized in the same country and does not have a fixed place of business outside of that country.
  - **Entity with only low-value accounts:** Non US financial institution that:
    - Is not an investment entity;
    - No financial account maintained by the financial institution (or, in the case of a financial institution that is a member of a group, by any member of the group) has a balance or value in excess of \$50,000;
    - Does not have more than \$50 million in assets on its balance sheet as of the end of its most recent accounting year.
  - **Limited life debt investment entity:** Non US financial institution that : (if it meets the conditions described, it will only be considered as such prior to January 1<sup>st</sup>, 2017):
    - is a collective investment vehicle formed pursuant to a trust indenture or similar fiduciary arrangement that is an financial institution solely because it is an investment entity that offers interests primarily to unrelated investors;
    - was in existence on 31 December 2011, and the financial institution's organizational documents require that the entity liquidate on or prior to a set date, and do not permit amendments to the organizational documents, including the trust indenture, without the agreement of all of the financial institution's investors;
    - was formed for the purpose of purchasing (and did in fact purchase) specific types of indebtedness and holding those assets (subject to reinvestment only under prescribed circumstances) until the termination of the asset or the vehicle
    - all payments made to the investors of the financial institution are cleared through a clearing organization that is a participating FFI, reporting Model 1 FFI, or U.S. financial institution or made through a trustee that is a participating FFI, reporting Model 1 FFI, or U.S. financial institution;
    - The financial institution's trust indenture or similar fiduciary arrangement only authorizes the trustee or fiduciary to engage in activities specifically designated in the trust indenture, and the trustee or fiduciary is not authorized through a fiduciary duty or otherwise to fulfill the obligations that a participating FFI is subject to absent a legal requirement to fulfill them.
  - **Investment advisors and investment managers :** A non-US financial institution solely because it is an investment entity. These entities do not maintain financial accounts

## Part III: To be completed by financial institutions (continued)

- iii. **Non Reporting Financial Institution (Financial Institution not obliged to communicate information in accordance with the IGA between United States and the country where the entity is tax resident):** Entities that according to the applicable IGA between the country where entity is tax resident and the U.S. do not have the obligation to communicate information.
- iv. **Restricted distributor:** A financial institution that :
  - o Operates as a distributor with respect to debt or equity interests of the restricted fund with respect to which this form is furnished;
  - o Provides investment services to at least 30 unrelated customers and no more than half of its customers are related persons;
  - o Is required to perform AML due diligence procedures under the anti-money laundering laws of its country of organization (which is a FATF-compliant country);
  - o Operates solely in its country of incorporation or organization;
  - o Has no more than \$175 million in total assets under management and no more than \$7 million in gross revenue on its income statement for the most recent accounting year. If it is part of a group, the group does not have more than \$500 million in total assets under management nor more than \$20 million in gross revenue for its most recent accounting year on a combined or consolidated income statement;
  - o Does not distribute any securities to specified U.S. persons, passive NFFEs with one or more substantial U.S. owners, or nonparticipating FFIs.
  - o With respect to all sales of debt or equity interests in the restricted fund with respect to which this form is furnished that are made after December 31st 2011, the entity:
    - Has been bound by a distribution agreement that contained a prohibition on the sale of securities to U.S. entities and U.S. resident individuals.
    - Is currently bound by a distribution agreement that contained a prohibition on the sale of securities to U.S. entities and U.S. resident individuals and, for all sales made prior to the time that such a restriction was included in its distribution agreement, has reviewed all accounts related to such sales in accordance with the procedures applicable to preexisting accounts and has redeemed any securities which were sold to specified US persons, Passive NFFEs with one or more substantial US owners or nonparticipating FFIs
- v. **Entity of a U.S. territory:** Financial institution that is incorporated or organized under the laws of any U.S. territory. Without including those that are only investment entities
- vi. **Excepted inter-affiliate FFI:** Non US financial institution that:
  - o Is a member of an expanded affiliated group;
  - o Does not maintain financial accounts (other than accounts maintained for members of its expanded affiliated group); and
  - o Does not make withholdable payments to any person other than to members of its expanded affiliated group that are not limited FFIs or limited branches.
- vii. **Owner documented financial institution:** Non US financial institution that:
  - o Is a financial institution solely because it is an investment entity;
  - o It is not affiliated with any financial institution that is a depository institution, custodial institution or insurance company; and
  - o Does not hold a financial account for Nonparticipating FFIs, nor issues shares for a value over \$50k
- viii. **Non Us non-participating financial institution:** Financial institution that cannot be considered such as reporting institution, "Deemed-compliant" entity, or Exempt beneficial owner.

## Part IV: To be completed by Non Financial institutions

### Part III.A: Active entities

#### a) Active entity:

- i. **Active non financial institution by reason of incomes and assets:** Less than 50% of the entity's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.
- ii. **Publicly traded entity or affiliate of a publicly traded entity:** The shares of the entity trade regularly in an established security market or the entity is affiliate of a publicly traded entity

## Part IV.A : Active entities

- iii. **Start-up company (<24 months since it was incorporated):** a non US non financial institution that does not have yet a defined economic activity, but invests in capital assets with the intention of performing a non financial activity; nonetheless, the entity will not be treated as a start-up if 24 months have elapsed from incorporation.
- iv. **Entity in bankruptcy:** a non US institution that during the last five years has not been a financial institution nor passive entity and it is in the process of liquidating its assets or reorganizing with the intent to continue or recommence operations as a nonfinancial entity.
- v. **Holding company of a non-financial group:** a non US non financial institution who's primary activity is to hold (totally or partially) the outstanding shares of one or more non financial subsidiaries, as well as to provide services and financing to those subsidiaries.
- vi. **Treasury center of a non-financial group:** Entity that:
  - o It is a member of a non-financial group
  - o It is not a depository or custody institution
  - o Does not work as investment fund, such as venture capital funds, funds leveraged buyout or investment vehicle for purchase or finance companies and then maintain interest in these companies as capital assets for purposes of investment.
- vii. **Non-profit organization:** a non US non financial institution that meets all of the following requirements:
  - o It is established and operates in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes;
  - o It is exempt from income tax in their country of residence;
  - o It does not have shareholders nor partners that are beneficial owners of its income or assets;
  - o Neither the laws of the entity's country of residence nor the entity's formation documents permit any income or assets of the entity to be distributed to, or applied for the benefit of, an individual or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered or the use of property, or as payment representing the fair market value of property that the entity has purchased;
  - o The laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to an entity that meets the requirements of a non-profit organization or governmental entity, or escheat to the government of the entity's country of residence or any political subdivision thereof
- viii. **Government or any of its subdivisions:** the term any foreign government, any political subdivision of a foreign government, or any wholly owned agency or instrumentality of any one or more of the foregoing means only the integral parts, controlled entities, and political subdivisions of a foreign sovereign
- ix. **International organization:** Any entity with privileges, exemptions and immunity of an international organization
- x. **Entity of an U.S. territory (Puerto Rico, Samoa, Guam,etc):** The non Us entity is incorporated in an U.S. territory and all the beneficial owners are legally residents in that U.S. territory

## Part IV. B: Passive entities

**i. Passive entities:** More than 50% of the entity's gross income for the preceding calendar year or other appropriate reporting period is passive income or more than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.

Passive incomes include:

- o Dividends, including substitute dividend amounts
- o Interest and income equivalent to interest
- o Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the entity.
- o Annuities
- o The excess of gains over losses from the sale or exchange of property that gives rise to income described above;
- o The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any commodities;
- o The excess of foreign currency gains over foreign currency losses
- o Net income resulting from swaps;
- o Amounts received under cash value insurance contracts; or
- o Amounts earned by an insurance company in connection with its reserves for insurance and annuity contracts.

Previous income shall not be considered as passive when they come from the development of a real economic activity.



## Part III.B : Passive entities

### II. Exempt Passive Entities with GIIN: non US non-financial entity that:

- It is a passive entity
- It provides information about their substantial owners directly to IRS through the 8966 form and therefore here is no need to provide information about them to the financial institution and
- It has been registered in the IRS website and has obtained a GIIN
- **lii. Exempt Passive Entity Sponsored with GIIN:** Those “Exempt Passive Entity with GIIN” who have a sponsor with GIIN o comply by them

### Passive entities are required to disclose the Controlling Person(s) of the institution.

## Definition of Controlling Person

- The term Controlling Persons corresponds to the term ‘beneficial owner’ as described in the Financial Action Task Force (FATF) Recommendations. For an Entity that is a legal person, the term Controlling Persons means the natural person(s) who exercises control over the Entity, generally natural person(s) with a controlling ownership interest in the Entity. Determining a controlling ownership interest will depend on the ownership structure of the Entity and control over the Entity may be exercised by direct ownership (or shareholding) or through indirect ownership (or shareholding) of one or more intermediate Entities. For example, Controlling Persons include any natural person that holds directly or indirectly more than 25 percent of the shares or voting rights of an Entity as a beneficial owner. If no such person exists, then any natural person that otherwise exercises control over the management of the Entity (e.g., the senior managing official of the company).

## Legal note:

- The rules of tax information FATCA and CRS require Garanti Bank SA to collect certain information about the tax residence of each account holder and FATCA and CRS classification. Please note that Garanti Bank SA cannot give tax advice. If you have questions about determining your entity tax residency and consequently unsure of how complete this form, please contact your tax advisor. The account holder is responsible of ensuring that the information provided is complete and accurate, and should facilitate Garanti Bank SA any additional documentation, information or replacement forms when requested or required.
- Garanti Bank SA assumes no responsibility for the independent verification of any information provided in this Form and will rely on such information as being complete and accurate in all material respects. Garanti Bank SA is also under no obligation to accept a Form if Garanti Bank SA has either reason to know, believe or have actual knowledge that the information provided on the form is not valid or is incorrect.
- The Garanti Bank SA entity requesting this form operates under the regulations applicable to its local tax jurisdiction or of the country where the entity is located.

## Validity of the self-certification:

- The Self Certification will remain valid indefinitely unless there is a change of circumstances, in which case you are required to notify Garanti Bank SA within 30 days since the change of circumstances has occurred and provide Garanti Bank SA an updated Self-Certification.

**Information Note for the Client:** United States Foreign Tax Compliance Act (FATCA) and The Organisation For Economic Co-operation and Development (OECD) Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS) require Garanti Bank SA to collect tax information about its clients. Please note that Garanti Bank SA cannot give tax advice. If you have questions about determining entity's tax residence status and consequently about filling in the information needed in this form please contact your tax advisor. Note that your data could be reported to the local tax authority.

**I. Client Identification** *(This form must be completed by each Controlling Person separately)*

1	Name		
2	Place of birth City:	Date of birth	Country:
3	Permanent residence address (do not use a P.O. box or in-care-of address)		City
	State or province	Postal code	Country

## II. Countries where the client has tax obligations (USA Citizenship or tax residency in any other country)

Enter below all the countries where you have tax obligations according to the country law (for further details see instructions)

1	Country	▶	Tax Identification number or equivalent
	<hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/>	▶	<div style="border: 1px solid black; height: 25px; width: 100%; display: flex; flex-direction: row-reverse;"><div style="width: 100%;"></div></div>
2	Country, if more than one (see instructions)	▶	Tax Identification number or equivalent
	<hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/>	▶	<div style="border: 1px solid black; height: 25px; width: 100%; display: flex; flex-direction: row-reverse;"><div style="width: 100%;"></div></div>
3	Country, if more than two (see instructions)	▶	Tax Identification number or equivalent
		▶	<div style="border: 1px solid black; height: 25px; width: 100%; display: flex; flex-direction: row-reverse;"><div style="width: 100%;"></div></div>

### III. Certificate of Loss of Nationality of the United States *(only for customers born in the US that did not provide the TIN)*

**1 If you were born in the United States but none of your countries of tax obligations is the United States according to International Law, please mark one of the following options and complete accordingly:**

☐ I submit the I-407, Certificate of Loss of Nationality issued by the United States competent authority

☐ I Certify that I have a place of birth in the United States but I am not resident for tax purposes in the United States because \_\_\_\_\_

**IV. Reasonable explanation for not providing the TIN** (only for tax residents in a partner jurisdiction that did not provide the TIN)

**1 If you are a tax resident in a partner jurisdiction and you are not providing a TIN, please mark one of the following options and complete accordingly:**

☐ The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents

☐ The Account Holder is otherwise unable to obtain a TIN or equivalent number *(Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)*

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## V. Type of Controlling Person

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- |   |  |
|---|--|
| <input type="checkbox"/> Controlling Person of a legal person – <i>control by ownership</i>     | <input type="checkbox"/> Controlling Person of a trust – <i>other</i>  |
| <input type="checkbox"/> Controlling Person of a legal person – <i>control by other means</i>   | <input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – <i>settlor-equivalent</i>     |
| <input type="checkbox"/> Controlling Person of a legal person – <i>senior managing official</i> | <input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – <i>trustee-equivalent</i>     |
| <input type="checkbox"/> Controlling Person of a trust – <i>settlor</i>                         | <input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – <i>protector-equivalent</i>   |
| <input type="checkbox"/> Controlling Person of a trust – <i>trustee</i>                         | <input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – <i>beneficiary-equivalent</i> |
| <input type="checkbox"/> Controlling Person of a trust – <i>protector</i>                       | <input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – <i>other-equivalent</i>       |
| <input type="checkbox"/> Controlling Person of a trust – <i>beneficiary</i>                     |  |

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## VI. CBI/RBI schemes (citizenship/tax residence depending on investments)

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1. Did you obtain residence rights under a CBI/RBI scheme?
- ☐ NO
- ☐ YES (detail) \_\_\_\_\_
2. Do you hold residence rights in any other jurisdiction(s)?
- ☐ NO
- ☐ YES (detail) \_\_\_\_\_
3. Have you spent more than 90 days in any other jurisdiction(s) during the previous year?
- ☐ NO
- ☐ YES (detail in which jurisdiction and which period) \_\_\_\_\_
4. In which jurisdiction(s) have you filed personal income tax returns during the previous year?
- Please detail \_\_\_\_\_

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## VII. Certification

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I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. Particularly I certify that:

1. All the data included in this form relates to the person identified in section I of this form
2. **The controlling person(s) identified in this forms is(are) not tax resident in jurisdictions other than those listed on this form**

Finally, I undertake to inform Garanti Bank SA, if there is a contractual relationship in any of them, if a change in the information contained in this form.

Sign here



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Client's signature

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Date (DD/MM/YYYY)

## Certification of the status of the individual

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### General Instructions

#### Use this form for:

- Individuals that exercise control

#### Do not use this form for:

- Individuals that do not exercise control over entities

#### Use:

Self-declaration of tax information -  
Individuals

### Legal Note

The above tax regulation requires Garanti Bank SA to collect certain information about each account holder's tax residency and its FATCA/CRS classification. Please note that Garanti Bank SA cannot provide you with any advice, including tax advice and if you are unsure about how to complete this form, please consult your tax advisor. It is the responsibility of the Account Holder to ensure that the information provided is complete and accurate and to provide Garanti Bank SA with any additional documentation, information or replacement forms when requested or required.

Garanti Bank SA assumes no responsibility for the independent verification of any information provided on this Form and will rely on such information as being complete and accurate in all material respects. Garanti Bank SA is also under no obligation to accept a form if we have either reason to know, believe or have actual knowledge that the information provided in the form is invalid or incorrect.

Garanti Bank SA entity requesting this form will operate under the regulations applicable to its local tax jurisdiction or country where the entity is located.

### Validity of self-certification

The Self-Certification will remain valid indefinitely unless there is a change in circumstances, in which case you are required to notify Garanti Bank SA within 30 days of the change of circumstances and provide us with an updated Self-Certification

**Important note:** The following definitions has a guidance nature. The official definition of the terms of this form must be interpreted according to the rules of tax information

## Section II: Countries of residence for tax purposes

- A country where the customer has tax obligations is one in which the individual is tax resident (as determined by the laws of that country) and further in the US when the client is a citizen of the same