

Statement for fiscal residents

Self-certification – Individual

Note Where the client is (1) non-resident or (2) a United States national or resident for tax purposes, or (3) if the information provided in this form is incorrect or incomplete, his/her tax identification number (or similar) and/or information on his/her accounts with Garanti (including balances, interest and dividends), could be reported to a relevant tax authority anywhere in the world in compliance with the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard for Automatic Exchange of Financial Account Information or the United States Foreign Account Tax Compliance FATCA

I. Client Identification

1 Name and Surname*

2 Place of birth (city)*

Date of birth*

Country of birth*

3 Permanent residence address(do not use a P.O. box or in-care-of address)*

City*

State or province (district/sector)*

Postal code

Country*

II. Countries where the client has tax obligations (USA Citizenship or tax residency in any other country, including tax residency in Romania)

Enter below all the countries where you have tax obligations according to the country law (for further details see instructions)

1 Country:*

Tax Identification number or equivalent (TIN/NIF)*

2 Country, if more than one (see instructions):

Tax Identification number or equivalent (TIN/NIF)

3 Country, if more than two (see instructions):

Tax Identification number or equivalent (TIN/NIF)

III. Certificate of Loss of Nationality of the United States (only for customers born in the US that did not provide the TIN / FIN)

1 If you were born in the United States but none of your countries of tax obligations is the United States according to International Law, please mark one of the following options and complete accordingly:

☐ I submit the I-407, Certificate of Loss of Nationality issued by the United States competent authority

☐ I Certify that I have a place of birth in the United States but I am not resident for tax purposes in the United States because: _____

IV. Reasonable explanation for not providing the TIN / FIN (only for tax residents in a partner jurisdiction that did not provide the TIN / FIN)

1 If you are a tax resident in a partner jurisdiction and you are not providing a TIN, please mark one of the following options and complete accordingly:

☐ The country/jurisdiction where the Account Holder/ Empowered person is resident does not issue TINs to its residents

☐ The Account Holder is otherwise unable to obtain a TIN / FIN or equivalent number (Please explain why you are unable to obtain a TIN / FIN if you have selected this reason): _____

* These fields are mandatory.

V. Certification

I declare that I have filled in and examined the information on this form and to the best of my knowledge and beliefs it is true, correct, and complete. Particularly I certify that:

- All the data included in this form relates to me, the person identified in section I of this form
- **I am not resident for tax purposes in other countries** that are not mentioned in this form

Finally, I undertake to inform the entity and the rest of the entities of Garanti Group, if there is a contractual relationship in any of them, if the information contained in this form changes.

Sign here



Client's signature*

Date (DD/MM/YYYY)*

Instructions for “Statement for fiscal residents”

Self-certification – Individual

Certification of the status of an individual

General instructions

Use this form for:

- Individuals (account owners) who are not controlling persons of passive entities

Do not use this form for:

- Controlling persons of passive entities. For Controlling persons (real beneficiaries) of passive entities please use form: Annex. Self-certification – Controlling person

Abbreviations:

- TIN – Tax Identification Number (issued by the tax residence country)
- FIN – Fiscal Identification Number

Part II: Countries for the purpose of tax obligations

The country where the individual has tax obligations is the country of tax residence (as determined under local laws). E.g: (1) in some countries an individual is resident for tax purposes if he/she resides more than 183 days per year in that country, (2) in the USA an individual is resident for tax purposes if he/she has been born in the USA and does not have a Certificate of Loss of Nationality.

Garanti does not provide tax advice and you are responsible for ensuring that the information you give us in relation to your tax position is true and up to date at all times. Unless we have acted negligently or wrongly we will not be responsible for any losses that you may suffer as a result of the way in which we treat your accounts with us for tax purposes or as a result of any reporting we make to tax authorities.

* These fields are mandatory.